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PTO/SB/97 (08-00)
Approved for use through 10/31/2002. OMB 0651-0031
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Application Number: 10/801,333

Filing Date: Mar 15, 2004

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- 1. Fee Transmittal
- 2. Information Disclosure Statement
- 3. PTO Form 1449

Total pages: 4 (703) 872-9306 MS1-467USC1

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Signature

Allan T. Sponseller

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PTO/SB/17 (10-03) Approved for use through 07/31/2006, OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. FEE TRANSMITTAL Complete if Known 10/801,333 Application Number Filing Date Mar 15, 2004 for FY 2004 Balaz First Named Inventor Effective 10/01/2003. Patent fees are subject to annual revision. Examiner Name Applicant claims small entity status. See 37 CFR 1.27 Art Unit TOTAL AMOUNT OF PAYMENT (\$) 180.00 Attorney Docket No. (MS1-467USC1 METHOD OF PAYMENT (check all that apply) FEE CALCULATION (continued) Money Order 3. ADDITIONAL FEES Check Credit card Other None Large Entity , Small Entity Deposit Account: Fee Fee Description Deposit Code (\$) Fee Paid 12-0769 Account Number 1051 130 2051 65 Surcharge - late filing fee or oath Deposit Account Name 1052 50 2052 Surcharge - late provisional filing fee or Lee & Hayes, PLLC cover sheet 1053 130 1053 130 Non-English specification The Director is authorized to: (check all that apply) 1812 2,520 1812 2,520 For filing a request for ex parte reexamination Charge fee(s) indicated below ✓ Credit any overpayments 920° Requesting publication of SIR prior to 1804 9201 1804 Charge any additional fee(s) or any underpayment of fee(s) Charge fee(s) indicated below, except for the filing fee 1805 1,840" Requesting publication of SIR after 1805 1.840 to the above-identified deposit account. Examiner action 1251 110 2251 Extension for reply within first month FEE CALCULATION 1252 420 2252 210 Extension for reply within second month 1. BASIC FILING FEE 1253 950 2253 475 Extension for reply within third month argo Entity Small Entity Fee Fee Code (\$) Fee Paid Fee Description 1254 1.480 2254 740 Extension for reply within fourth month 1255 2,010 2255 1,005 Extension for reply within fifth month 1001 770 2001 385 Utility filing fee 1002 340 2002 170 1401 330 2401 Design filing tee 165 Notice of Appeal 1003 530 2003 265 1402 330 2402 165 Filing a brief in support of an appeal Plant fillng fee 1004 770 2004 385 145 Request for oral hearing Reissue filino fee 1403 290 2403 1005 160 2005 80 Provisional filing fee 1451 1.510 1451 1,510 Petition to institute a public use proceeding 1452 110 2452 55 Petition to revive - unavoidable SUBTOTAL (1) (\$) 0.00 1453 1.330 2453 665 Petition to revive - unintentional 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE 665 Utility Issue fee (or reissue) 1501 1.330 2501 Fee from Ext<u>ra Claim</u>s Fee Paid below 1502 480 2502 240 Design issue fee **Total Claims** × 1503 2503 320 Plant issue fee Independent ¥ 1460 130 1460 130 Petitions to the Commissioner Multiple Dependent 1807 50 1807 50 Processing fee under 37 CFR 1.17(q) Large Entity Small Entity 1806 180 1806 180 Submission of Information Disclosure Stmt Fee Fee Code (\$) Fee Description Fee Fee Code (\$) 40 Recording each patent assignment per 8021 40 8021 property (times number of properties) 1202 2202 Claims in excess of 20 18 385 Filing a submission after final rejection (37 CFR 1.129(a)) 1809 770 2809 85 1201 2201 43 Independent claims in excess of 3 1203 290 2203 145 Multiple dependent claim, if not paid 1810 770 385 For each additional invention to be 2810 examined (37 CFR 1.129(b)) 1204 RR 2204 43 Reissue independent claims over original patent 1801 770 2801 385 Request for Continued Examination (RCE) 1205 Reissue claims in excess of 20 18 2205 9 1802 900 1802 900 Request for expedited examination and over original patent of a design application (\$) 0.00 Other fee (specify) SUBTOTAL (2) Reduced by Basic Filing Fee Paid or number previously paid, if greater, For Reissues, see above SUBTOTAL (3) (\$) 180.00 SUBMITTED BY

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This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the Individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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38,318

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### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Serial No.	10/801.333
Filing Date	Mar 15, 2004
Filing Date	1952
Inventorship	Balaz
Applicant	Microsoft Cornoration
Group Art Unit	2131
Examiner	Revak. C.
Attorney's Docket No	MS1-467USC1
Title: VPN Enrollment Protocol Gateway	

#### INFORMATION DISCLOSURE STATEMENT

References -- See Attached Form PTO-1449

#### REMARKS

The citations listed, copies attached, are submitted in compliance with the duty of disclosure defined in 37 CFR §1.56. The Examiner is requested to make these citations of official record in this application.

Respectfully Submitted,

Date: 11/2/04

Allan T. Sponseller Reg. No. 38,318

LEE & HAYES, PLLC

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(use as many sheets as necessary)			PLICANT	First Named Inventor	Balaz	
				Group Art Unit	2131	
			ecessary)	Examiner Name	Revak, C.	
Sheet	1	of	1	Attorney Docket Number	MS1-467USC1	J

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				U.S. PATENT DOC	JMENTS	
Examiner Initials*	Cite No.1	U.S. Patent Number	Kind Code <sup>2</sup> (# known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines. Where Relevent Passagos or Relevant Floures Appear
		5495411		Ananda	02-27-1996	
		5548645		Ananda	08-20-1996	
		5638513		Ananda	06-10-1997	
		5983270		Abraham et al.	11-09-1999	
		6044471		Colvin	03-28-2000	
		6385731		Ananda	05-07-2002	
		6671813		Ananda	12-30-2003	
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		Office	Number <sup>4</sup>	Kind Code <sup>6</sup> (if known)	Applicant of Cited Document	Cited Document MM-DD-YYYY	Where Relevant Passages or Relevant Egures Appear	La
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<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> Seo attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible, <sup>6</sup> Applicant is to place a check mark here if English language Transpotion is attached.



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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Drew line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.